

Enhanced Competency Framework (ECF) on Compliance (Core & Professional Level) Training and Examination Schedule

Important Notes:

1. HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP*/CCOP(GC)*/CCOP(IIC)*).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2030

#Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2030

^Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2030

Training¹: 2025



- HKIB CPD²



- SFC CPT



- FLEX Learning³



- WAM Programme⁵

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline ⁷	Fee ^{8,9} (HKD)
Ethics and Corporate Governance in Banking Industry ⁶ (Credit: 10)	1	HECO01P25041 FLEX Learning – Virtual Classroom	9 Apr 2025 (Wed) 16 Apr 2025 (Wed) 23 Apr 2025 (Wed) 30 Apr 2025 (Wed) 7 May 2025 (Wed)	19:00 – 22:00	English	CLOSED	
		HECO01P25081 FLEX Learning – Virtual Classroom	9 Aug 2025 (Sat) 16 Aug 2025 (Sat) 23 Aug 2025 (Sat)	10:00 - 13:00 & 14:00 - 17:00 10:00 - 13:00	Cantonese	FULL	
		HECO01P25101 FLEX Learning – Virtual Classroom	9 Oct 2025 (Thu) 15 Oct 2025 (Wed) 22 Oct 2025 (Wed) 30 Oct 2025 (Thu) 5 Nov 2025 (Wed)	19:00 – 22:00	Cantonese	23 Sep 2025 (Tue)	4,300
		HECO02P25051 FLEX Learning – Virtual Classroom	28 May 2025 (Wed) 4 Jun 2025 (Wed) 18 Jun 2025 (Wed) 25 Jun 2025 (Wed) 2 Jul 2025 (Wed)	19:00 – 22:00	English	CLOSED	
		HECO02P25091 FLEX Learning – Virtual Classroom	13 Sep 2025 (Sat) 20 Sep 2025 (Sat) 27 Sep 2025 (Sat)	10:00 - 13:00 & 14:00 - 17:00 10:00 - 13:00	Cantonese	FULL	
		HECO02P25111 FLEX Learning – Virtual Classroom	20 Nov 2025 (Thu) 27 Nov 2025 (Thu) 4 Dec 2025 (Thu) 11 Dec 2025 (Thu) 18 Dec 2025 (Thu)	19:00 – 22:00	Cantonese	6 Nov 2025 (Thu)	4,300
An Effective Compliance Function (Credit: 20)	3	HECO03P25071 Face-to-face Training	19 Jul 2025 (Sat) (VAT ⁴) 26 Jul 2025 (Sat) (VAT ⁴) 9 Aug 2025 (Sat) (VAT ⁴)	10:00 - 13:00 & 14:00 - 17:00	Cantonese (English for VAT ⁴ Class)	CLOSED	
		(Updated) HECO03P25111 Hybrid Mode Training	5 Nov 2025 (Wed) (FLEX ³) 12 Nov 2025 (Wed) (FLEX ³) 19 Nov 2025 (Wed) (FLEX ³) 26 Nov 2025 (Wed) (FLEX ³) 2 Dec 2025 (Tue) (VAT ⁴) 9 Dec 2025 (Tue) (VAT ⁴)	19:00 – 22:00	English	21 Oct 2025 (Tue)	7,700

Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	HECO04P25031 Face-to-face Training	8 Mar 2025 (Sat) (LIVE)	10:00 - 13:00 & 14:00 - 17:00	Cantonese (English for VAT ⁴ Class)	CLOSED	
			15 Mar 2025 (Sat) (LIVE)				
			22 Mar 2025 (Sat) (VAT ⁴)	10:00 - 13:00			
			22 Mar 2025 (Sat) (LIVE)	14:00 - 17:00			
			29 Mar 2025 (Sat) (VAT ⁴)	10:00 - 13:00			
		HECO04P25081 Face-to-face Training	1 Aug 2025 (Fri) (LIVE)	19:00 – 22:00	English	CLOSED	
			8 Aug 2025 (Fri) (LIVE)				
			13 Aug 2025 (Wed) (LIVE)				
			22 Aug 2025 (Fri) (LIVE)				
Investment and Insurance Compliance (Credit: 30) 	5	HECO05P25061 Face-to-face Training	7 Jun 2025 (Sat)	10:00 - 13:00 & 14:00 - 17:00	Cantonese	CLOSED	
			14 Jun 2025 (Sat)				
			28 Jun 2025 (Sat)				
			21 Jun 2025 (Sat)	10:00 - 13:00			
		(Updated) HECO05P25101 Face-to-face Training	6 Nov 2025 (Thu)	19:00 – 22:00	English	22 Oct 2025 (Wed)	6,800
			13 Nov 2025 (Thu)				
			19 Nov 2025 (Wed)				
			26 Nov 2025 (Wed)				
			4 Dec 2025 (Thu)				
			11 Dec 2025 (Thu)				
			18 Dec 2025 (Thu)				

Examination¹: 2025 – 2026

Candidates are suggested to select the most appropriate examination session according to their competence level and learning plan, including self-studying time to prepare for the examination.

Module Name	Module No.	Code	Date	Time	Application Deadline ⁷	Fee ⁸ (HKD)
Ethics and Corporate Governance in Banking Industry (Credit: 10)	1	EXAM-2025-0020B	11 Jun 2025 (Wed)	19:00 - 20:30	CLOSED	
		EXAM-2025-0062B	3 Oct 2025 (Fri)	19:00 - 20:30	CLOSED	
		EXAM-2025-0133	13 Nov 2025 (Thu)	19:00 - 20:30	13 Oct 2025 (Mon)	600
		(NEW!!!) EXAM-2025-0397B	11 Dec 2025 (Thu)	19:00 - 20:30	11 Nov 2025 (Tue)	600
Regulatory Framework and Compliance in Banking Industry (Credit: 10)	2	EXAM-2025-0037B	22 Jul 2025 (Tue)	19:00 – 20:30	CLOSED	
		EXAM-2025-0071B	27 Oct 2025 (Mon)	19:00 – 20:30	27 Sep 2025 (Sat)	600
		(NEW!!!) EXAM-2025-0398B	21 Jan 2026 (Wed)	19:00 – 20:30	21 Dec 2025 (Sun)	600
An Effective Compliance Function (Credit: 20)	3	EXAM-2025-0168	20 Mar 2025 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2025-0053	17 Sep 2025 (Wed)	19:00 – 21:30	CLOSED	
		EXAM-2025-0129	12 Nov 2025 (Wed)	19:00 – 21:30	12 Oct 2025 (Sun)	1,500
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	EXAM-2025-0013	29 May 2025 (Thu)	19:00 – 22:00	CLOSED	
		EXAM-2025-0099	14 Aug 2025 (Thu)	19:00 – 22:00	FULL	
		EXAM-2025-0060	21 Oct 2025 (Tue)	19:00 – 22:00	21 Sep 2025 (Sun)	1,500
Investment and Insurance Compliance (Credit: 30)	5	EXAM-2022-10369	7 Jan 2025 (Tue)	19:00 – 22:00	CLOSED	
		EXAM-2025-0122	15 May 2025 (Thu)	19:00 – 22:00	CANCELLED	
		EXAM-2025-0045	13 Aug 2025 (Wed)	19:00 – 22:00	CLOSED	
		(Updated) EXAM-2025-0080	22 Jan 2026 (Thu)	19:00 – 22:00	22 Dec 2025 (Mon)	1,600

Special Note: Learners are required to complete the training before attempting the examination.


Programme Details:

Programme/
Module Outline : Please refer to programme brochure and handbook for details.

Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

Enrolment : Applicants can submit the application via [MyHKIB](#) in advance at most 6 months before.

Notes:

Note	Category	Details
1	Training / Examination	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.
2	CPD	By attending the above training, Professional Qualification holders of AAML, CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFinT(A), CPFinT(M), CPFinT(S-AIBD), CPFinT(S-DLT), CPFinT(S-OBAP), CPFinT(S-RT), AGFP(PS), AGFP(ClimRM), AGFP(SDR), AGFP(SSCC), CGFP(GSF-P), CGFP(GSF-I), CGFP(SRM), CGFP(SDR), CGFP(SSCC), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours.
3	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
4	VAT Training Mode	Designated chapters of Module 3 & 4 are delivered using Video-Assisted Training with pre-recorded videos and facilitation support of designated teaching assistant.
5	WAM	 The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk .
6	Module Content	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
7	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
8	Training Material	Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
9	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.

For General Enquiries / Feedback:

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